United Nations A/C.5/63/L.52

General Assembly

Distr.: Limited
25 June 2009

Original: English

Sixty-third session
Fifth Committee
Agenda item 132
Administrative and budgetary aspects of the financing of the United Nations peacekeeping operations

Draft resolution submitted by the Chairman following informal consultations

Support account for peacekeeping operations

The General Assembly,

Recalling its resolutions 45/258 of 3 May 1991, 47/218 A of 23 December 1992, 48/226 A of 23 December 1993, 55/238 of 23 December 2000, 56/241 of 24 December 2001, 56/293 of 27 June 2002, 57/318 of 18 June 2003, 58/298 of 18 June 2004, 59/301 of 22 June 2005, 60/268 of 30 June 2006, 61/245 and 61/246 of 22 December 2006, 61/256 of 15 March 2007, 61/279 of 29 June 2007 and 62/250 of 20 June 2008, its decisions 48/489 of 8 July 1994, 49/469 of 23 December 1994 and 50/473 of 23 December 1995 and its other relevant resolutions,

Having considered the reports of the Secretary-General on the financing of the support account for peacekeeping operations[1] and on strengthening the capacity of the United Nations to manage and sustain peacekeeping operations,[2] the reports of the Independent Audit Advisory Committee on the proposed budget for the Office of Internal Oversight Services under the support account for peacekeeping operations for the period from 1 July 2009 to 30 June 2010[3] and on vacant posts in the Office of Internal Oversight Services,[4] the report of the Office of Internal Oversight Services on the audit of the Secretariat's structure for managing and sustaining peacekeeping operations[5] and the related report of the Advisory Committee on Administrative and Budgetary Questions,[6]

Recognizing the importance of the United Nations being able to respond and deploy rapidly to a peacekeeping operation upon adoption of a relevant resolution of

[1] A/63/698 and Add.1 and A/63/767 and Corr.1.
[2] A/63/702 and Corr.1.
[3] A/63/703.
[4] A/63/737.
[5] A/63/837.
[6] A/63/841.

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the Security Council, within thirty days for traditional peacekeeping operations and ninety days for complex peacekeeping operations,

Recognizing also the need for adequate support during all phases of peacekeeping operations, including the liquidation and termination phases,

Mindful that the level of the support account should broadly correspond to the mandate, number, size and complexity of peacekeeping missions,

Attaching great importance to the provision of adequate resources for peacekeeping operations and their backstopping as well as for all priority activities of the Organization, in particular activities in the area of development, and underlining the need for genuine and meaningful partnership between the Security Council, the troop-contributing Governments and other Member States,

1. *Takes note* of the reports of the Secretary-General on the financing of the support account for peacekeeping operations for the period from 1 July 2009 to 30 June 2010[1] and on strengthening the capacity of the United Nations to manage and sustain peacekeeping operations,[2] the reports of the Independent Audit Advisory Committee on the proposed budget for the Office of Internal Oversight Services under the support account for peacekeeping operations for the period from 1 July 2009 to 30 June 2010[3] and on vacant posts in the Office of Internal Oversight Services[4] and the report of the Office of Internal Oversight Services on the audit of the Secretariat's structure for managing and sustaining peacekeeping operations;[5]

2. *Reaffirms* its role in carrying out a thorough analysis and approval of human and financial resources and policies with a view to ensuring the full, effective and efficient implementation of all mandated programmes and activities and the implementation of policies in this regard;

3. *Also reaffirms* that the Fifth Committee is the appropriate Main Committee of the General Assembly entrusted with responsibility for administrative and budgetary matters;

4. *Further reaffirms* rule 153 of its rules of procedure;

5. *Reaffirms* that the support account funds shall be used for the sole purpose of financing human resources and non-human resource requirements for backstopping and supporting peacekeeping operations at Headquarters, and that any changes in this limitation require the prior approval of the General Assembly;

6. *Also reaffirms* the need for adequate funding for the backstopping of peacekeeping operations, as well as the need for full justification for that funding in support account budget submissions;

7. *Further reaffirms* the need for effective and efficient administration and financial management of peacekeeping operations, and urges the Secretary-General to continue to identify measures to increase the productivity and efficiency of the support account;

8. *Reiterates* that the delegation of authority on the part of the Secretary-General should be in order to facilitate the better management of the Organization, but stresses that the overall responsibility for management of the Organization rests with the Secretary-General as the Chief Administrative Officer;

9. *Affirms* the need for the Secretary-General to ensure that the delegation of authority to the Department of Peacekeeping Operations, the Department of Field Support and field missions is in strict compliance with relevant resolutions and decisions, as well as relevant rules and procedures of the General Assembly on this matter;

10. *Stresses* that heads of departments report to and are accountable to the Secretary-General;

11. *Requests* the Secretary-General, when submitting his budget proposals, to include details of the full annual cost of posts for the subsequent budget;

12. *Requests* the Secretary-General to ensure the full implementation of the relevant provisions of General Assembly resolutions 59/296 of 22 June 2005, 60/266 of 30 June 2006 and 61/276 of 29 June 2007 and other relevant resolutions;

13. *Notes* that the overall benefits of the restructuring of the Department of Peacekeeping Operations and the Department of Field Support remain yet to be fully assessed, and, in this regard, requests the Secretary-General to continue to make every effort to strengthen the capacity of the Organization to manage and sustain peacekeeping operations in the face of their surge in volume and complexity;

14. *Reiterates* that the Secretary-General should address systemic issues that hamper good management of the Organization, including by improving work processes and procedures, and, in that context, stresses that structural change is no substitute for managerial improvement;

15. *Stresses* the need for the Secretary-General to ensure a strategic and coherent vision when undertaking reform initiatives, and, in this context, emphasizes that any new proposal for reform should fully take into account ongoing and past management reforms;

16. *Emphasizes* the importance of preserving the unity of command in missions at all levels, as well as a coherence in policy and strategy and clear command structures in the field and up to and including Headquarters;

17. *Also emphasizes* the importance of interaction and coordination with troop-contributing countries;

18. *Further emphasizes* the need to ensure the safety and security of United Nations personnel;

19. *Takes note* of the report of the Office of Internal Oversight Services,[5] and urges the Secretary-General to ensure the full implementation of its recommendations;

20. *Also takes note* of the observations and recommendations contained in the report of the Independent Audit Advisory Committee on vacant posts in the Office of Internal Oversight Services[4] and requests the Secretary-General to fill the vacancies in the Office of Internal Oversight Services, in accordance with the existing relevant provisions governing recruitment in the United Nations and the provisions of the present resolution;

21. *Requests* the Secretary-General to entrust the Office of Internal Oversight Services to implement the recommendations of the Independent Audit Advisory Committee contained in paragraphs 22 to 29 and 33 to 35 of its report;[3]

22. *Stresses* in this regard the importance for the Office of Internal Oversight Services, in its reports on investigations of fraud and corruption in the Organization, to make a clear differentiation and definition between the actual value of financial loss to the Organization, if any; other findings that may not have direct financial implication; and the total number and value of contracts investigated, in order to convey an accurate perception of the value of financial loss;

23. *Reiterates* the importance of strengthened accountability in the Organization and of ensuring greater accountability of the Secretary-General to Member States, inter alia, for the effective and efficient implementation of legislative mandates and the use of human and financial resources;

24. *Also reiterates* its regret for the delay in the response of the Secretary-General to its outstanding requests in its resolutions 59/288, 61/246, 61/276 and 62/269, and urges him as a matter of priority to submit a report on procurement governance and other issues, as requested in resolutions 61/246, 61/276 and 62/269, with full justification of the reasons for the delay;

25. *Endorses* the conclusions and recommendations contained in the report of the Advisory Committee on Administrative and Budgetary Questions,[6] subject to the provisions of the present resolution;

26. *Notes* the observation of the Board of Auditors that there is no defined formula to show the relationship between the level and complexity of peacekeeping operations and the level of the support account, and, in this regard, emphasizes the need to develop a sound approach for determining the proposed support account staffing requirements, so that Member States can make fully informed decisions on resources;

27. *Requests* the Secretary-General to review the level of the support account on a regular basis, taking into consideration the number, size and complexity of peacekeeping operations;

28. *Takes note* of paragraph 45 of the report of the Advisory Committee on Administrative and Budgetary Questions,[6] and requests the Secretary-General to include, in his rejustification of the totality of support account staffing requirements, inter alia, information and analysis on the following, taking into account the relevant legislative mandates:

(a) The lead agency, entity, department and/or offices for major strands of activity and the scope of their respective responsibilities;

(b) Comprehensive assessment of the evolution of the support account;

(c) Related human resources funded from the regular budget and other sources of funding, including in other departments of the United Nations Secretariat, resources in field missions and where relevant the specialized agencies and funds and programmes;

(d) Impact of the requested resources on the improvement to the administrative and financial management of peacekeeping operations;

(e) All functions covered by the proposed resources other than backstopping peacekeeping operations;

(f) The impact of information and communications technology initiatives, including related business process improvements, in enhancing productivity and on the level of resources requested;

(g) The outcome of business process improvements;

(h) Lessons learned from recent experience of operating the support account, including on the conversion of general temporary assistance positions;

29. *Recalls* section I, paragraph 6, of its resolution 55/238, paragraph 11 of its resolution 56/241, paragraph 19 of its resolution 61/279, and paragraph 22 of its resolution 62/250, and requests the Secretary-General to make further concrete efforts to ensure proper representation of troop-contributing countries in the Department of Peacekeeping Operations and the Department of Field Support, taking into account their contribution to United Nations peacekeeping;

30. *Reiterates* section III, paragraph 10 of its resolution 63/250, and invites the Secretary-General, when appointing officials at the D-1 and D-2 levels in the departments of the Secretariat that provide backstopping and/or policy guidance to field missions, to fully consider the relevant field experience of the candidates, as one of the highly desirable appointment criteria;

31. *Recalls* its resolution 63/280 of 8 May 2009 and decides to establish a security sector reform unit in the Office of Rule of Law and Security Institutions;

32. *Also recalls* paragraph 17 of its resolution 60/268 and reiterates its request to the Secretary-General to entrust to the Office of Internal Oversight Services the task of refining the methodology for allocating resident auditors, taking also into account the risks and complexity of the operation of individual peacekeeping operations, and to report thereon to the General Assembly;

33. *Stresses* that the due process rights afforded to staff under investigations have to withstand review by the system of the administration of justice, including in the context of the establishment of the new system;

34. *Notes with serious concern* the decision to advertise vacancies for positions not approved by the General Assembly, and stresses the need for vacancy announcements to be made in accordance with existing relevant provisions governing recruitment in the United Nations and that any changes involving administrative and financial implications shall be subject to the review and approval of the General Assembly in accordance with established procedures;

35. *Decides* to maintain, for the financial period from 1 July 2009 to 30 June 2010, the funding mechanism for the support account used in the current period, from 1 July 2008 to 30 June 2009, as approved in paragraph 3 of its resolution 50/221 B of 7 June 1996;

36. *Takes note* of paragraph 175 of the report of the Advisory Committee on Administrative and Budgetary Questions;[6]

37. *Decides* not to introduce the proposed structure based on the hub approach at this stage, and decides to designate, as a pilot project, centres for investigations in Nairobi, Vienna, and New York from 1 July 2009 to 30 June 2012;

38. *Recognizes* the value of resident investigators and decides to maintain resident investigations staff presence in some peacekeeping operations, pending its consideration of the comprehensive report referred to in paragraph 40 below;

39. *Requests* the Secretary-General to submit a preliminary report on the status of implementation of the pilot project at the second resumed part of its sixty-fifth session;

40. *Also requests* the Secretary-General to submit to the General Assembly for its consideration, in the context of the 2012/2013 support account budget, after full consultations with all relevant stakeholders, integrating in particular the comments and observations of field missions, a comprehensive report on the pilot project, with a view to deciding on a restructuring of the Investigations Divisions of the Office of Internal Oversight Services, including:

(a) A complete qualitative analysis of the implementation of the three-year pilot project, including the lessons learned;

(b) A clear and transparent presentation of the existing structure and the pilot project structure and their respective coverage of field missions;

(c) A comprehensive cost-benefit analysis, including of the effectiveness and efficiency of the structure of the pilot project based on accurate assumptions, including an analysis of the long-term trend of investigations in field missions;

(d) Fully justified rationale for all deployments of investigations staff and resources and the ability of the Office of Internal Oversight Services to respond to changing caseload requirements;

(e) Complete and updated information on the current staffing, vacancy rate and caseload;

41. *Further requests* the Advisory Committee on Administrative and Budgetary Questions to request the Board of Auditors to conduct an audit of the implementation of the pilot project for the period of 1 July 2009 to 30 June 2012, without prejudice to the role of the Independent Audit Advisory Committee, and to report thereon separately to the General Assembly at the second resumed part of its sixty-sixth session;

Financial performance report for the period from 1 July 2007 to 30 June 2008

42. *Takes note* of the report of the Secretary-General on the financial performance of the support account for peacekeeping operations for the period from 1 July 2007 to 30 June 2008;[7]

Budget estimates for the financial period from 1 July 2009 to 30 June 2010

43. *Approves* the support account requirements in the amount of 294,030,900 United States dollars for the financial period from 1 July 2009 to 30 June 2010, including 1,182 continuing posts, and 63 new temporary posts contained in annex I, and 83 continuing and 60 new general temporary assistance positions contained in annex II to the present resolution, as well as their related post and non-post requirements;

[7] A/63/698 and Add.1.

Financing of the budget estimates

44. *Decides* that the requirements for the support account for peacekeeping operations for the financial period from 1 July 2009 to 30 June 2010 shall be financed as follows:

(a) The unencumbered balance and other income in the total amount of 15,056,300 dollars in respect of the financial period ended 30 June 2008, to be applied to the resources required for the financial period from 1 July 2009 to 30 June 2010;

(b) The amount of 7,322,600 dollars representing the excess of the authorized level of the Peacekeeping Reserve Fund in respect of the financial period ended 30 June 2008, to be applied to the resources required for the financial period from 1 July 2009 to 30 June 2010;

(c) The amount of 62,800 dollars representing the excess of the appropriation for the support account requirements in respect of the financial period ended 30 June 2007, to be applied to the resources required for the financial period from 1 July 2009 to 30 June 2010;

(d) The balance of 271,589,200 dollars to be prorated among the budgets of the active peacekeeping operations for the financial period from 1 July 2009 to 30 June 2010;

(e) The estimated staff assessment income of 28,273,500 dollars, comprising the amount of 27,486,900 dollars for the financial period from 1 July 2009 to 30 June 2010 and the increase of 786,600 dollars in respect of the financial period ended 30 June 2008, to be set off against the balance referred to in subparagraph (d) above, to be prorated among the budgets of the individual active peacekeeping operations.

Annex I

Support account posts to be established for the period from 1 July 2009 to 30 June 2010

Organizational unit		*Number of posts*	*Post level*
Department of Peacekeeping Operations			
Office of Operations	GTA conversion	1	1 P-5
Office of Rule of Law and Security Institutions	New	20	1 D-1, 1 P-5, 10 P-4, 7 P-3, 2 GS (OL)
	GTA conversion	1	1 P-3
Subtotal		**22**	
Department of Field Support			
Office of the Under-Secretary-General	New	1	1 GS (PL)
Field Budget and Finance Division	New	2	2 P-4
Field Personnel Division	GTA conversion	2	2 P-3
Logistics Support Division	New	7	3 P-4, 3 P-3, 1 GS (PL)
	Reclassification		1 P-3 to P-4
	GTA conversion	1	1 GS (OL)
Subtotal		**13**	
Department of Management			
Office of Programme Planning, Budget and Accounts	New	2	1 P-4, 1 GS (OL)
	GTA conversion	4	1 P-4, 3 P-3
	Reclassification		1 P-3 to P-4; 1 P-4 to P-5
Office of Human Resources Management	New	11	1 P-4, 5 P-3, 1 P-2, 1 GS (PL), 3 GS (OL)
	GTA conversion	3	2 P-4, 1 GS (OL)
Office of Central Support Services	New	3	1 P-4, 2 P-3
Subtotal		**23**	
Office of Internal Oversight Services			
Inspection and Evaluation Division	New	1	1 P-4

Organizational unit		*Number of posts*	*Post level*
Internal Audit Division	New	1	1 P-5
Subtotal		**2**	
Office of Legal Affairs	New	2	1 P-5, 1 P-4
Office of the United Nations Ombudsman	New	1	1 P-5
Total		**63**	**1 D-1, 5 P-5, 23 P-4, 22 P-3, 1 P-2, 3 GS (PL), 8 GS (OL)**

Abbreviations: GS (OL), General Service (Other level); GTA (general temporary assistance).

Annex II

Support account general temporary assistance positions to be established for the period from 1 July 2009 to 30 June 2010

Organizational unit		*Number of positions*	*Position level*
Department of Peacekeeping Operations			
Office of Operations	Continuation	2	1 P-4, 1 GS (OL)
Office of Rule of Law and Security Institutions	New	1	1 P-3
Policy, Evaluation and Training Division	Continuation	8	1 P-5, 3 P-4, 3 P-3, 1 GS (OL)
Subtotal		**11**	
Department of Field Support			
Field Personnel Division	Continuation	6	4 P-3, 2 GS (OL)
	New	13	11 P-3, 2 GS (OL)
Field Budget and Finance Division	Continuation	1	1 P-4
Logistics Support Division	Continuation	2	2 P-3
	New	1	1 P-3
Subtotal		**23**	
Department of Management			
Office of the Under-Secretary-General	New	2	1 P-4, 1 GS (OL)
Office of Programme Planning, Budget and Accounts	Continuation	14	5 P-4, 4 P-3, 1 P-2, 4 GS (OL)
	New	22	4 P-4, 4 P-3, 2 P-2, 12 GS (OL)
Office of Human Resources Management[a]	Continuation	3	3 GS (OL)
Office of Central Support Services	New	4	3 P-3, 1 P-2
Subtotal		**45**	
Office of Internal Oversight Services			
Investigations Division	Continuation	2	New York: 1 P-3, 1 GS (OL)
	New	7	New York: 1 P-5, 3 P-4, 1 P-3, 2 GS (OL)
	Continuation	14	Vienna: 1 D-1, 1 P-5, 2 P-4, 7 P-3, 2 GS (OL), 1 GS (PL)
	New	6	Nairobi: 1 D-1, 1 P-5, 1 P-4, 1 P-3, 2 GS (OL)

Organizational unit		Number of positions	Position level
	Continuation	10	Nairobi: 3 P-4, 5 P-3, 2 GS (OL)
	Continuation	12	MONUC: 1 P-4, 1 P-3, 1 NGS
			UNMIL: 1 P-4, 2 P-3, 1 NGS
			UNMIS: 1 P-4, 2 P-3
			MINUSTAH: 1 P-4
			UNOCI: 1 P-4
Subtotal		**51**	
Office of Legal Affairs	New	1	1 P-4
Ethics Office	Continuation	2	1 P-3, 1 GS (OL)
Office of Information and Communications Technology	Continuation	7	5 P-3, 2 GS (OL)
	New	3	1 P-5, 2 P-3
Total		**143**	

Note: General temporary assistance positions equivalent to $2,018,900 (before the application of the approved vacancy rates).

Abbreviations: GS (OL), General Service (Other level); GS (PL), General Service (Principal level); NGS (national General Service).

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